



JC784 U.S. PTO

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PTO/SB/05(11-00)



10/19/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. L-1610

First Inventor Michael D. Vander Vopst

Title NEMA-TYPE AC POWER OUTLET CONNECTORS

Express Mail Label No. ET420113532US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
*(Submit an original and a duplicate for fee processing)*2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 35]
(Preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 1

5. Oath or Declaration [Total Pages 2] 1

a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))

b. (for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202317. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
*(if applicable, all necessary)*a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. CD-ROM or CD-R (2 copies); orii. paperc. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))10. 37 CFR 3.73(b) Statement Power of Attorney
*(when there is an assignee)*11. English Translation Document (if applicable)12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations13. Preliminary Amendment14. Return Receipt Postcard (MPEP 503)
*(Should be specifically itemized)*15. Certified Copy of Priority Document(s)
*(if foreign priority is claimed)*16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. /

Prior application information.

Examiner _____

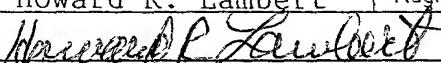
Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> Correspondence address below	
Name	Howard R. Lambert				
Address	5245 Gatewood Lane				
City	Anaheim	State	CA	Zip Code	92807
Country	U.S.A.	Telephone	(714) 637-5678	Fax	(714) 637-5678

Name (Print/Type) Howard R. Lambert Registration No. (Attorney/Agent) 27,206

Signature  Date 10-16-2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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JC932 US PTO 10/039625
10/19/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 488.00)

Complete if Known

Application Number	Unknown
Filing Date	Unknown
First Named Inventor	Michael D. Vander Vorste
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	L-1610

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0432

Deposit Account Name Howard R. Lambert

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
24	4		-20** = 4	x 9 = 36	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$78.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105 130	205	65	Surcharge - late filing fee or oath	
127 50	227	25	Surcharge - late provisional filing fee or cover sheet	
139 130	139	130	Non-English specification	
147 2,520	147	2,520	For filing a request for ex parte reexamination	
112 920*	112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55	Extension for reply within first month	
116 390	216	195	Extension for reply within second month	
117 890	217	445	Extension for reply within third month	
118 1,390	218	695	Extension for reply within fourth month	
128 1,890	228	945	Extension for reply within fifth month	
119 310	219	155	Notice of Appeal	
120 310	220	155	Filing a brief in support of an appeal	
121 270	221	135	Request for oral hearing	
138 1,510	138	1,510	Petition to institute a public use proceeding	
140 110	240	55	Petition to revive - unavoidable	
141 1,240	241	620	Petition to revive - unintentional	
142 1,240	242	620	Utility issue fee (or reissue)	
143 440	243	220	Design issue fee	
144 600	244	300	Plant issue fee	
122 130	122	130	Petitions to the Commissioner	
123 50	123	50	Processing fee under 37 CFR 1.17(q)	
126 180	126	180	Submission of Information Disclosure Stmt	
581 40	581	40	Recording each patent assignment per property (times number of properties)	
146 710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279	355	Request for Continued Examination (RCE)	
169 900	169	900	Request for expedited examination of a design application	
Other fee (specify) Assignment Record.				40

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$40.00)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Howard R. Lambert	Registration No. (Attorney/Agent)	27,206	Telephone	(714) 637-5678
Signature	<i>Howard R. Lambert</i>			Date	10/16/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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JC932 U.S. PRO
10/039625
10/19/01



Attorney's Docket No. L-1610

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor: **Michael D. Vander Vorste and Peter S. Pulizzi**

For: **NEMA-TYPE AC POWER OUTLET CONNECTORS**

1. Type of Application

This new application is for a(n)

- Original (non-provisional) utility
- Design
 - Plant
- Divisional.
- Continuation.
- Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

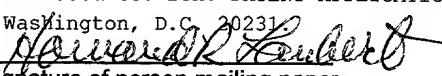
- none

3. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 29 Pages of specification
- 5 Pages of claims
- 1 Page of Abstract
- 7 Sheets of drawing
 - formal
 - informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 19, 2001 in an envelope as Express Mail, Post Office to Addressee Mailing Label Number ET420113532US addressed to: Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231


 Signature of person mailing paper

4. Additional papers enclosed

Preliminary Amendment
 Information Disclosure Statement (37 CFR 1.98)
 Form PTO-1449
 Power of Attorney
 Form PTO/SB/17 Fee Transmittal for FY 2001
 Form PTO/SB/05 Utility Patent Application Transmittal

5. Declaration or oath:

Inventors Michael D. Vander Vorste and Peter S. Pulizzi
 Legal representative of inventor(s). 37 CFR 1.42 or 1.43.
 Not Enclosed.

6. Assignment:

An assignment of the invention to PULIZZI ENGINEERING INC.
 Is attached.
 Will follow.

7. Fee Calculation (37 CFR 1.16)**CLAIMS AS FILED**

	Number filed	Number extra	Rate (Small Entity)	Basic Fee 37 CFR 1.16(b) \$370.00
Total Claims (37 CFR 1.16(c))	24- 20 =	4 x	\$ 9.00=	\$ 36.00
Independent Claims (37 CFR 1.16(b))	4 - 3 =	1 x	\$ 42.00=	\$ 42.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		-	\$135.00=	\$ 0.00

Amendment canceling extra claims enclosed.
 Amendment deleting multidependencies enclosed.
 Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 448.00

8. Small Entity Statement(s):

Applicants' assert that Application is entitled to Small Entity status.
 Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9c is attached.
 Status as a small entity was claimed in prior application serial No. _____, filed on _____ from which benefit is being claimed for this application under:
 35 U.S.C. 119(e),
 120,

121,
 365(c),

and which status as a small entity is still proper and desired.

A copy of the verified statement in the prior application is included.

9. Request for International-Type Search (37 CFR 1.104(d)):

Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

10. Fee Payment Being Made at This Time:

<input type="checkbox"/> Not Enclosed	
<input type="checkbox"/> No filing fee is to be paid at this time.	
<input checked="" type="checkbox"/> Enclosed	
<input checked="" type="checkbox"/> Basic filing fee	\$ 448.00
<input checked="" type="checkbox"/> Recording assignment (\$ 40.00; 37 CFR 1.21(h)) (See attached cover sheet for "ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$ 40.00
<input type="checkbox"/> For processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$ _____
<input type="checkbox"/> Processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
<input type="checkbox"/> Fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$ _____
TOTAL FEES ENCLOSED	\$ 488.00

11. Method of Payment of Fees

Check number 124 in the amount of \$ 488.00
 Charge Account No. 12-0432 in the amount of \$ _____
A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-0432.

- 37 CFR 1.16(a), (f) or (g) (filing fees)
- 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 CFR 1.17 (application processing fees)

16. Instructions as to Overpayment:

Credit Account No. 12-0432
 Refund


SIGNATURE OF ATTORNEY

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